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9/29/10

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FILED
SEP 29 2010
Francine A. Schott, J.S.C.

By: Elizabeth R. Lash
Deputy Attorney General
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SUPERIOR COURT OF NEW JERSEY
LAW DIVISION: ESSEX COUNTY
DOCKET NO. ESX-L-3695-10

MARC B. MINOR, Chief of the
New Jersey Bureau of
Securities,

Plaintiff,

v.

FIRST SECURITY INVESTMENTS,
INC.; and
STEPHEN M. ALINIKOFF,

Defendants.

Civil Action

CONSENT ORDER AND FINAL
JUDGMENT

THIS MATTER was presented to the Court by Marc B. Minor, Chief of the New Jersey Bureau of Securities ("Bureau Chief," or "Plaintiff"), (Elizabeth R. Lash, Deputy Attorney General, appearing), pursuant to R. 4:67-1(a) and R. 4:67-6. Defendants Stephen M. Alinikoff and First Security Investments, Inc., have agreed to resolve any and all issues in controversy in this action, on the terms set forth in this Consent Order and Final Judgment, which terms have, with the consent of the Bureau Chief and defendants Stephen M. Alinikoff and First Security Investments,

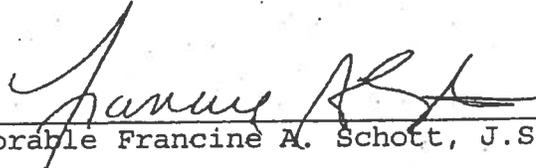
Inc., been reviewed and approved by the Honorable Francine A. Schott, J.S.C., as confirmed by the entering of this Consent Order and Final Judgment.

The Bureau Chief makes the following findings of fact and conclusions of law, which defendants Stephen M. Alinikoff and First Security Investments, Inc. admit:

1. Defendant First Security Investments, Inc. ("First Security") was located at 303 Market Street, Kingston, Pennsylvania.
2. Defendant Stephen M. Alinikoff ("Alinikoff") resides in Kingston, Pennsylvania.
3. Defendants First Security and Alinikoff ("First Security Defendants") are the subject of a Final Decision and Consent Order of Assessment of Civil Monetary Penalties ("Order") entered by former Bureau Chief Franklin L. Widmann on November 16, 2005 (amended July 20, 2006 as to another party named in the Order).
4. The Order assessed a civil monetary penalty against the First Security Defendants jointly and severally, in the amount of \$55,500, pursuant to N.J.S.A. 49:3-56(h), N.J.S.A. 49:3-58(a)(2)(xi), and N.J.S.A. 49:3-70.1.
5. The First Security Defendants have only paid the Bureau \$31,500 of the entire civil monetary assessment. Thus, \$24,000 remains due and owing from these defendants.

IT IS on this 29 day of Sept 2010, ORDERED AND AGREED:

1. The \$55,500 penalty assessment is enforced against the First Security Defendants;
2. The First Security Defendants are directed to pay the remaining sum of \$24,000 as mandated in the Order;
3. The Clerk of the Superior Court of New Jersey shall record the Order assessing a penalty of \$55,500 against the First Security Defendants on the judgment docket of the Court, and reflect the payment of \$31,500 already made by the First Security Defendants; and
4. Plaintiff shall serve a copy of this Order upon the First Security Defendants within 7 days of Plaintiff's counsel's receipt of same.


Honorable Francine A. Schott, J.S.C.

(THIS AREA INTENTIONALLY LEFT BLANK.)

I hereby consent to the form and entry
of the within Consent Order and Final
Judgment as to Defendants Stephen M.
Alinikoff and First Security Investments,
Inc.


Stephen M. Alinikoff

Dated: 9/8/10


Stephen M. Alinikoff, as President
of First Security Investments, Inc.

Dated: 9/8/10

PAULA T. DOW
ATTORNEY GENERAL OF NEW JERSEY
Attorney for Plaintiff

By: 
Elizabeth R. Lash
Deputy Attorney General

Dated: 9/14/10