

Investment Adviser Registration Depository (“IARD”), an electronic database upon which registrants are obligated to maintain current contact information, as the firm’s contact person’s e-mail address. The Bureau received a return email indicating that the email was undeliverable for each of the three email notices sent to the firm.

3. On August 16, 2013 the Bureau sent a letter through certified mail return-receipt requested, and a copy through ordinary mail, to “James Ackerman, Sloan Investment Advisors LLC, 270 Sylvan Ave, Suite 260, Englewood Cliffs, N.J. 07632,” the principal contact’s name and address designated by the firm on the IARD. The letter stated in pertinent part that the Bureau had made at least three attempts to contact the firm by email, each of which was undeliverable, and that the firm must complete and submit the Written Examination. Both letters were returned as “Return to Sender” “Not Deliverable as Addressed” and “Unable to Forward.”

4. The contact phone number designated by the firm on IARD is no longer in service.

CONCLUSIONS OF LAW

Sloan Investment Advisors is No Longer in Existence or Has Ceased to do Business **N.J.S.A. 49:3-58(d)**

5. The preceding paragraphs are incorporated by reference as though set forth verbatim herein.

6. Pursuant to N.J.S.A. 49:3-58(d):

[i]f the bureau chief finds that any registrant ... is no longer in existence or has ceased to do business as a ... investment adviser ... or cannot be located after reasonable search, the bureau chief may by order summarily revoke the registration ...

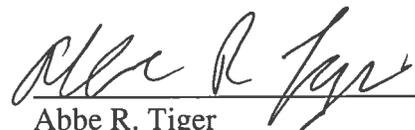
7. Because the Bureau's emails and letters to Sloan Investment Advisors have been undeliverable, and the firm's phone number is no longer in service, Sloan Investment Advisors cannot be located after a reasonable search by the Bureau, and so it appears that Sloan Investment Advisors is no longer in existence or has ceased to do business as an investment adviser. Pursuant to N.J.S.A. 49:3-58(d) this is cause to revoke Sloan Investment Advisors' registration.

CONCLUSION

On the basis of the Findings of Fact and Conclusions of Law stated above,

IT IS on this 30th DAY of SEPTEMBER, 2013

ORDERED that the investment adviser registration of Sloan Investment Advisors LLC be **REVOKED**.



Abbe R. Tiger
Chief, Bureau of Securities

NOTICE OF RIGHT TO HEARING

Pursuant to the Uniform Securities Law (1997), N.J.S.A. 49:3-47 et seq., specifically, N.J.S.A. 49:3-58(c), the bureau chief shall entertain on notice of no less than three (3) days, a written application to lift the summary revocation on written application of the applicant or registrant and in connection therewith may, but need not, hold a hearing and hear testimony, but shall provide to the applicant or registrant a written statement of the reasons for the summary revocation.

This matter will be set down for a hearing if a written request for such a hearing is filed with the Bureau within 15 days after the respondent receives this Order. A request for a hearing must be accompanied by a written response, which addresses specifically each of the allegations set forth in the Order. A general denial is unacceptable. At any hearing involving this matter, an individual respondent may appear on his/her own behalf or be represented by an attorney.

Orders issued pursuant to this subsection to suspend or revoke any registration shall be subject to an application to vacate upon 10 days' notice, and a preliminary hearing on the order to suspend or revoke any registration shall be held in any event within 20 days after it is requested, and the filing of a motion to vacate the order shall toll the time for filing an answer and written request for a hearing.

If no hearing is requested, the Order shall be entered as a Final Order and will remain in effect until modified or vacated. If a hearing is held, the Bureau Chief shall affirm, vacate or modify the order in accord with the findings made at the hearing.

NOTICE OF OTHER ENFORCEMENT REMEDIES

You are advised that the Uniform Securities Law provides several enforcement remedies, which are available to be exercised by the Bureau Chief, either alone or in combination. These remedies include, in addition to this action revoking your registration, the right to seek and obtain injunctive and ancillary relief in a civil enforcement action, N.J.S.A. 49:3-69, and the right to seek and obtain civil penalties in an administrative or civil action, N.J.S.A. 49:3-70.1.

You are further advised that the entry of the relief requested does not preclude the Bureau Chief from seeking and obtaining other enforcement remedies against you in connection with the claims made against you in this action.