



CHRIS CHRISTIE
Governor

KIM GUADAGNO
Lt. Governor

New Jersey Office of the Attorney General

Division of Consumer Affairs
Fire Alarm, Burglar Alarm and Locksmith Advisory Committee
124 Halsey Street, 6th Floor, Newark NJ 07102



CHRISTOPHER S. PORRINO
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Director

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Newark, N.J. 07101
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July 13, 2016

Daniel Pollock
Pollock & Sons/Top Security Alarms
1019 Fordham Lane
Woodmere, NY 11598



**RE: OFFER OF SETTLEMENT
CEASE & DESIST WITH PENALTY**

Dear Mr. Pollock:

This letter is to advise you that the New Jersey Fire Alarm, Burglar Alarm and Locksmith Advisory Committee (the "Committee") has had an opportunity to review evidence that you have offered the unlicensed provision of alarm and security services in New Jersey. The Committee has determined that you have engaged in the unlicensed provision of alarm and security services in New Jersey in violation of the provisions of N.J.S.A. 45:5A-25 et seq. and N.J.A.C. 13:31A et seq. Please note that this Settlement Letter and Acknowledgement, if entered into, will become public.

More specifically, the following evidence has been obtained:

- Copy of a Proposal for security work in Bergenfield, NJ
- Your letter dated March 4, 2016 in response for information concerning work performed in Bergenfield, NJ
- Your testimony (admissions) provided before the Committee during the investigative inquiry dated June 21, 2016.

Upon review of all available information, the Committee has determined that you have performed alarm and security services without licensure and therefore probable cause exists to support a finding that you have practiced alarm and security services pursuant to N.J.S.A. 45:5A-25 which provides in pertinent part:

- (a) No person shall advertise that he is authorized to engage in, or engage in the alarm business, or otherwise engage in the installation, service or maintenance of burglar alarm, fire alarm or electronic security systems unless he satisfies the requirements of this act.

The Committee has determined that the above violations are sufficient to warrant the initiation of formal disciplinary proceedings against you pursuant to N.J.S.A. 45:1-23. The Committee is authorized, pursuant to N.J.S.A. 45:1-25, to seek a penalty of \$10,000 for the first violation and not more than \$20,000 for the second and each subsequent violation. Notwithstanding, that determination, however, the Committee is offering you an opportunity to settle this matter. In the event that you reject this settlement offer, or fail to respond, the Committee has instructed the Attorney General's Office to initiate formal proceedings.

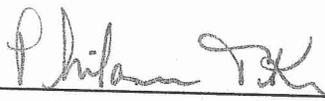
Therefore, the initiation of formal proceedings will be avoided should you consent to the following:

1. Sign an agreement to cease and desist from practicing or otherwise representing yourself from engaging in alarm and security services in the State of New Jersey, nor engage in any services which fall under the practice of providing security services, unless duly authorized by the New Jersey Burglar Alarm, Fire Alarm and Locksmith Advisory Committee to do so.
2. The stayed penalty of \$2500 will become active should the committee receive verifiable information that I have engaged in the practice of alarm and/or security services without proper licensure from the State of New Jersey.

If you are willing to settle this matter on the offered settlement terms, you should sign this acknowledgement and return it to the Committee office for filing. This letter will then become a matter of public record and constitute the Final Order of the Committee in this matter. This Settlement Offer will remain open to you for twenty (20) days from the date of receipt of this letter. In the event that no response is received in the allowable time period, it will be assumed that you have rejected the Committee's settlement proposal, at which time the settlement offer set forth herein will be withdrawn. The matter will then be considered for the initiation of formal proceedings.

Once again, in the event you reject this offer of settlement, and formal charges are filed, the Committee can seek civil penalties of \$10,000 for the first violation, and up to \$20,000 for every violation thereafter. Additionally, the Committee may seek an order requiring you to reimburse certain monies and/or requiring you to pay additional costs and/or legal fees incurred by the Committee.

Should you have any questions concerning this letter or the settlement offer, please do not hesitate to contact the Committee Office at (973) 504-6245.

By: 
Philameana Tucker, Executive Director

cc: Joseph Donofrio, Deputy Attorney General

PT:ks

By Certified and Regular Mail

Certified Receipt No: 7007 0220 0000 1315 4534

ACKNOWLEDGMENT AND AGREEMENT TO CEASE AND DESIST

I, Daniel Pollock, Pollock & Sons/Top Security Alarms, hereby acknowledge that I have read and reviewed the settlement proposal set forth in the above letter. I admit to having engaged in the practice of providing alarm and security services while unlicensed to do so. I agree to cease and desist from engaging in the practice of alarm and security services until such time as I become licensed to engage in alarm and security services in New Jersey.

I am aware that the stayed penalty of \$2500 will become active should the committee receive verifiable information that I have engaged in the practice of alarm and/or security services without proper licensure from the State of New Jersey.

I am aware that if I violate this agreement, the Committee may institute legal proceedings against me to obtain an injunction and to seek monetary penalties to be calculated as second offenses pursuant to law.

I am aware that by signing this acknowledgement, I am waiving any rights I may have to defend myself against these charges of wrongdoing. I am also aware that the action taken against me by the Committee is a matter of public record, and that this letter is a public document.

Daniel Pollock Pollock & Sons Top Security Alarms
Print Name

Daniel Pollock
Signature

7/18/16
Date

ELECTRICAL CONTRACT
2016 JUL 22 AM 10:53