

VOLUME 47, ISSUE 16
ISSUE DATE: **AUGUST 17, 2015**
RULE ADOPTIONS
LAW AND PUBLIC SAFETY
DIVISION OF CONSUMER AFFAIRS
BUREAU OF SECURITIES

Readoption: N.J.A.C. 13:47A

Notice of Readoption

Rules of the Bureau of Securities

Authority: N.J.S.A. 49:3-47 et seq., specifically 49:3-67(a).

Authorized By: Laura Posner, Bureau Chief, Bureau of Securities.

Effective Date: July 17, 2015.

New Expiration Date: July 17, 2022.

Take notice that pursuant to N.J.S.A. 52:14B-5.1, the rules at N.J.A.C. 13:47A will expire on September 12, 2015. The Bureau of Securities administers and enforces New Jersey's Uniform Securities Law, N.J.S.A. 49:3-47 et seq., which governs the registration of securities, broker-dealers, investment advisers, agents for broker-dealers, investment adviser representatives, and investment advisers doing business in or from the State. The Bureau provides protection to New Jersey's investing public from fraudulent stock sales which includes investigative efforts such as on-site examinations of registrants and monitoring the Internet for fraudulent securities activity.

The Bureau of Securities has reviewed the rules and has determined them to be necessary, reasonable, and proper for the purpose for which they were originally promulgated, as required by Executive Order No. 66 (1978). Therefore, pursuant to N.J.S.A. 49:3-47 et seq., specifically 49:3-67(a), and in accordance with N.J.S.A. 52:14B-5.1.c(1), these rules are readopted and shall continue in effect for a seven-year period.