

CERTIFICATION

I, _____, certify that all of the information provided on this form is true to the
(Please print full name.)

best of my knowledge and belief. I understand that any omissions, inaccuracies or failure to make full disclosures may be deemed sufficient to withhold renewal of, revoke, suspend or restrict a registration issued by the Bureau of Securities.

I hereby acknowledge that the Bureau may approve my registration in advance of receiving the results of my Criminal History Background Check. Should any information be returned that would have caused my registration to be denied or subject me to a restricted registration, the Bureau may revoke, suspend or restrict my registration accordingly.

I voluntarily consent to a thorough investigation of my present and past employment and other activities for the purpose of verifying my qualifications for registration. I further authorize all institutions, employers, agencies and all governmental agencies and instrumentalities (local, state, federal or foreign) to release any information, files or records requested by the Bureau of Securities.

I certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.

Signature of applicant

Date

FREQUENTLY ASKED QUESTIONS

I recently had a background check done for another New Jersey agency (e.g. the Department of Banking and Insurance). Do I have to undergo the process again?

Yes, because the regulation concerning the dissemination of criminal history record information prohibits the sharing of the material for any reason other than the authorized and intended purpose for which it was gathered. (28 C.F.R. §20; N.J.A.C. 13:59-1.1 et seq.)

What if I previously completed the Certification and Authorization form and have gone through the Criminal History Record Background Check process for the Bureau of Securities?

If you have already undergone the Criminal History Record Background Check process for the Bureau of Securities, you will not be required to be fingerprinted a second time. However, you must complete the Certification and Authorization form, indicating the date on which you were fingerprinted, and include a fee of \$17.50 to have your fingerprints resubmitted.

For a complete list of frequently asked questions, please visit our website at: <http://www.njconsumeraffairs.gov/ocp/Pages/chru.aspx>