

# New Jersey Bureau of Securities

consumer**brief**

The N.J. Bureau of Securities, a unit with the Office of the Attorney General, protects the investing public from fraud and abuse by overseeing companies and individuals involved with the sale and purchase of securities. The Bureau has the power to investigate and prosecute fraud.

The Bureau of Securities registers and has information about:

- Brokerage firms
- Stockbrokers and sales agents
- Investment advisers

Any security (unless exempted) offered to investors in New Jersey must be registered with the Bureau of Securities.

## PROTECT YOURSELF

To protect yourself, consumers can call the Bureau of Securities and ask:

- Is the company I am dealing with registered?
- Is the person I am dealing with registered?
- Is the security being offered to me registered?
- Are there any past disciplinary actions against the company or person I am dealing with?

Consumers may also file complaints with the Bureau of Securities regarding brokerage firms, stockbrokers or sale agents, and investment advisers.

## HOW TO CONTACT THE N.J. BUREAU OF SECURITIES

Consumers may contact the Bureau of Securities:

- online at: [www.NJConsumerAffairs.gov/bos](http://www.NJConsumerAffairs.gov/bos)

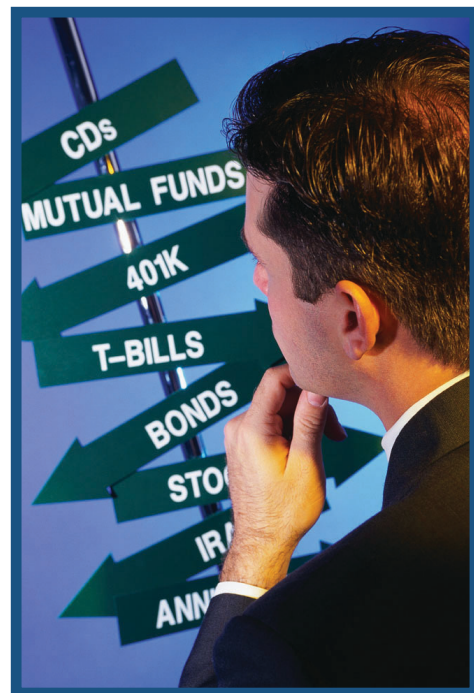
- by calling:

**973-504-3600** (general information)

**866-I-INVEST (866-446-8378)** Toll free within N.J.  
(to report a complaint)

- by mail at: Bureau of Securities  
P.O. Box 47029,  
Newark, NJ 07101

- in person at: 153 Halsey Street  
Newark, NJ 07101.



**800-242-5846** ▶ New Jersey Division of Consumer Affairs  
[www.NJConsumerAffairs.gov](http://www.NJConsumerAffairs.gov)

